

NOTIFICATION DOCUMENT

|   |   |
|---|---|
| <b>1. Exporter (name, address):</b><br><br>Contact person: _____ Tel: _____<br>Fax/Telex: _____<br><br>Reason for export: _____   | <b>3. Notification concerning (1):</b><br>A (i) Single Movement <input type="checkbox"/><br>(ii) General notification <input type="checkbox"/><br>(multiple movements)<br>B (i) Disposal (no recovery) <input type="checkbox"/><br>(ii) Recovery operation <input type="checkbox"/><br>C Pre-authorized recovery facility (1) Yes <input type="checkbox"/> No <input type="checkbox"/><br>Facility Registration Number (if Yes) _____<br>(To be completed for a recovery facility located in an OECD State) |
| <b>2. Importer (name, address):</b><br><br>Contact person: _____ Tel: _____<br>Fax/Telex: _____   | <b>4. Total intended number of shipments</b><br><b>5. Estimated quantity (3)</b><br>_____ kg<br>_____ litres  |
| <b>7. Intended carrier(s)* (name, address) (2):</b><br><br>Contact person: _____ Tel: _____<br>Fax/Telex: _____   | <b>6. Intended date(s) or period of time for shipment(s)</b><br><br><b>8. Disposer (name, address)</b><br><br>Contact person: _____ Tel: _____<br>Actual site of disposal: _____ Fax/Telex: _____   |
| <b>10. Waste generator(s) (name, address) (2):</b><br><br>Contact person: _____ Tel: _____<br>Fax/Telex: _____<br><br>Site of generation & process: _____   | <b>9. Method(s) of disposal:</b><br>D code / R code (4): _____<br>Technology employed (Attach details if necessary): _____  |
| <b>13. (i) Designation and chemical composition of the waste</b>  | <b>(ii) Special handling requirements</b>   |
| <b>15. Waste identification code</b><br>in country of export: _____ IWIC: _____<br>in country of import: _____ EWC: _____<br>Customs Code H.S: _____ Other (specify): _____   | <b>12. Packaging Type(s) (4):</b><br><br><b>14. Physical characteristics</b><br><br><b>17. Y-number (4):</b><br><br><b>18. H-number (4):</b>  |
| <b>16. OECD classification (1):</b><br>Amber <input type="checkbox"/> red <input type="checkbox"/> and number: _____<br>other <input type="checkbox"/> (attach details)   | <b>19. (i) UN identification:</b><br>UN Shipping name: _____<br><br><b>(ii) UN class (4):</b>   |
| <b>20. Concerned states, code number of Component authorities, and specific points of entry and exit:</b><br>State of Export _____ States of transit _____ State of Import _____  |   |
| <b>21. Customs offices of entry and/or departure (European-Community):</b><br>Entry _____<br><br>Departure: _____   | <b>22. Number of annexes attached</b><br><br><b>23. Exporter's/Generators declaration:</b><br>I certify that the above information is complete and correct to my best knowledge. I also certify that legally-enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantees are or shall be in force covering the transboundary movement.<br><br>Name: _____ Signature: _____<br>Date: _____  |
| For use by competent authorities  |   |
| <b>24. To be completed by</b><br>Notification received on: _____ - Import (EEC, OECD)<br>_____ - transit (Basel)<br><br>Acknowledgment sent on: _____<br><br>Name of Competent Authority, stamp and/or signature: _____ | <b>25. Consent to the movement provided by the Competent Authority of (country):</b><br>Consent given on: _____ Consent expires on: _____<br>Specific conditions (1): <input type="checkbox"/> Yes. See block 26 overleaf/annex<br><input type="checkbox"/> No.<br><br>Name of Competent Authority, stamp and/or signature: _____   |

(1) Enter X in appropriate box list if multiple shipment

(2) Attach a list if more than one (4) See codes on the reverse

(3) Attach a

## LIST OF ABBREVIATIONS USED IN THE NOTIFICATION FORM

| <b>DISPOSAL (NO RECOVERY) (Block 9)</b><br>D1 Deposit into or onto Land, (e.g., Landfill, etc.)<br>D2 Land treatment, (e.g., biodegradation of liquid or sludgy discards in soils, etc...)<br>D3 Deep Injection, (e.g., injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.)<br>D4 Surface impoundment, (e.g., placement of liquid or sludge discards into pits, ponds or lagoons, etc...)<br>D5 Specially engineered landfill, (e.g., placement onto lined discrete cells which are capped and isolated from one another and the environment, etc...)<br>D6 Release into water body except seas/oceans<br>D7 Release into seas/oceans including sea-bed insertion<br>D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any operations number D1 to D12<br>D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any operations numbered D1 to D12, (e.g., evaporation, drying, calcination etc.)<br>D10 Incineration on land<br>D11 Incineration at sea<br>D12 Permanent Storage, (e.g., emplacement in containers in a mine, etc.)<br>D13 Blending or mixing prior to submission to any of the operations numbered D1 to D12<br>D14 Repackaging prior to submission to any of the operations number D1 to D12.<br>D15 Storage pending any of the operations D1 to D12. |   | <b>RECOVERY OPERATIONS (Block 9)</b><br>R1 Use as a fuel (other than in direct incineration) or other means to generate energy<br>R2 Solvent reclamation/regeneration<br>R3 Recycling/reclamation of organic substances which are not used as solvents<br>R4 Recycling/reclamation of metal compounds<br>R5 Recycling/reclamation of other inorganic materials<br>R6 Regeneration of acid or bases<br>R7 Recovery of components from used for pollution abatement<br>R8 Recovery of components from catalysts<br>R9 Used oil re-refining or other reuses of previously used oil<br>R10 Land treatment resulting in benefit to agricultural or ecological improvement<br>R11 Uses of residual material obtained from any of the operations numbered R 1 to R10<br>R12 Exchange of wastes for submission to any of the operations numbered R1 to R11<br>R13 Accumulation of material intended for any operations numbered R1 to R12 |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
|--|---|---|----------------------------|--------|--------|--------------|-----------------|-------|------------|-------|-------|--------------------|-------|--|-----------------------|--|-----------------------|--|--------|--|-------------------|--|--|----------|----------|------|-----------|------|---------------------|----------|--------------------|----------|---|----------|--|----------|-----------|----------|-------------------|----------|-------------------|----------|-----------------------|------|------------|-------|--|-------|----------------------------|-------|----------|-------|---|
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 2px;">MODES OF TRANSPORT (Block 11)</th> <th style="text-align: left; padding: 2px;">PACKAGING TYPES (Block 12)</th> </tr> </thead> <tbody> <tr> <td style="padding: 2px;">R Road</td> <td style="padding: 2px;">1 Drum</td> </tr> <tr> <td style="padding: 2px;">T Train/Rail</td> <td style="padding: 2px;">2 Wooden Barrel</td> </tr> <tr> <td style="padding: 2px;">S Sea</td> <td style="padding: 2px;">3 Jerrican</td> </tr> <tr> <td style="padding: 2px;">A Air</td> <td style="padding: 2px;">4 Box</td> </tr> <tr> <td style="padding: 2px;">W Inland Waterways</td> <td style="padding: 2px;">5 Bag</td> </tr> <tr> <td></td> <td style="padding: 2px;">6 Composite Packaging</td> </tr> <tr> <td></td> <td style="padding: 2px;">7 Pressure receptacle</td> </tr> <tr> <td></td> <td style="padding: 2px;">8 Bulk</td> </tr> <tr> <td></td> <td style="padding: 2px;">9 Other (Specify)</td> </tr> </tbody> </table>   |   | MODES OF TRANSPORT (Block 11)   | PACKAGING TYPES (Block 12) | R Road | 1 Drum | T Train/Rail | 2 Wooden Barrel | S Sea | 3 Jerrican | A Air | 4 Box | W Inland Waterways | 5 Bag |  | 6 Composite Packaging |  | 7 Pressure receptacle |  | 8 Bulk |  | 9 Other (Specify) | <b>H NUMBER (Block 18) AND UN CLASS (Block 19)</b><br><table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 2px;">UN Class</th> <th style="text-align: left; padding: 2px;">H number</th> </tr> </thead> <tbody> <tr> <td style="padding: 2px;">1 H1</td> <td style="padding: 2px;">Explosive</td> </tr> <tr> <td style="padding: 2px;">3 H3</td> <td style="padding: 2px;">Inflammable liquids</td> </tr> <tr> <td style="padding: 2px;">4.1 H4.1</td> <td style="padding: 2px;">Inflammable solids</td> </tr> <tr> <td style="padding: 2px;">4.2 H4.2</td> <td style="padding: 2px;">Substances or wastes liable to spontaneous combustion</td> </tr> <tr> <td style="padding: 2px;">4.3 H4.3</td> <td style="padding: 2px;">Substances or waste which, in contact with water, emit inflammable gases</td> </tr> <tr> <td style="padding: 2px;">5.1 H5.1</td> <td style="padding: 2px;">Oxidizing</td> </tr> <tr> <td style="padding: 2px;">5.2 H5.2</td> <td style="padding: 2px;">Organic peroxides</td> </tr> <tr> <td style="padding: 2px;">6.1 H6.1</td> <td style="padding: 2px;">Poisonous (acute)</td> </tr> <tr> <td style="padding: 2px;">6.2 H6.2</td> <td style="padding: 2px;">Infectious substances</td> </tr> <tr> <td style="padding: 2px;">8 H8</td> <td style="padding: 2px;">Corrosives</td> </tr> <tr> <td style="padding: 2px;">9 H10</td> <td style="padding: 2px;">Liberation of toxic gases in contact with air or water</td> </tr> <tr> <td style="padding: 2px;">9 H11</td> <td style="padding: 2px;">Toxic (delayed or chronic)</td> </tr> <tr> <td style="padding: 2px;">9 H12</td> <td style="padding: 2px;">Ecotoxic</td> </tr> <tr> <td style="padding: 2px;">9 H13</td> <td style="padding: 2px;">Capable, after disposal, of yielding another material, e.g. leachate, which possesses any of the characteristics listed above</td> </tr> </tbody> </table> |  | UN Class | H number | 1 H1 | Explosive | 3 H3 | Inflammable liquids | 4.1 H4.1 | Inflammable solids | 4.2 H4.2 | Substances or wastes liable to spontaneous combustion | 4.3 H4.3 | Substances or waste which, in contact with water, emit inflammable gases | 5.1 H5.1 | Oxidizing | 5.2 H5.2 | Organic peroxides | 6.1 H6.1 | Poisonous (acute) | 6.2 H6.2 | Infectious substances | 8 H8 | Corrosives | 9 H10 | Liberation of toxic gases in contact with air or water | 9 H11 | Toxic (delayed or chronic) | 9 H12 | Ecotoxic | 9 H13 | Capable, after disposal, of yielding another material, e.g. leachate, which possesses any of the characteristics listed above |
| MODES OF TRANSPORT (Block 11)  | PACKAGING TYPES (Block 12)  |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| R Road   | 1 Drum  |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| T Train/Rail   | 2 Wooden Barrel   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| S Sea  | 3 Jerrican  |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| A Air  | 4 Box   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| W Inland Waterways   | 5 Bag   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
|  | 6 Composite Packaging   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
|  | 7 Pressure receptacle   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
|  | 8 Bulk  |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
|  | 9 Other (Specify)   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| UN Class   | H number  |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 1 H1   | Explosive   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 3 H3   | Inflammable liquids   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 4.1 H4.1   | Inflammable solids  |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 4.2 H4.2   | Substances or wastes liable to spontaneous combustion   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 4.3 H4.3   | Substances or waste which, in contact with water, emit inflammable gases  |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 5.1 H5.1   | Oxidizing   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 5.2 H5.2   | Organic peroxides   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 6.1 H6.1   | Poisonous (acute)   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 6.2 H6.2   | Infectious substances   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 8 H8   | Corrosives  |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 9 H10  | Liberation of toxic gases in contact with air or water  |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 9 H11  | Toxic (delayed or chronic)  |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 9 H12  | Ecotoxic  |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| 9 H13  | Capable, after disposal, of yielding another material, e.g. leachate, which possesses any of the characteristics listed above |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| Y numbers (block 17) refer to categories of waste listed in Annex I and II of the Basel Convention. These codes, as well as more detailed information can be found in <i>an instruction manual available from the Secretariat of the Basel Convention.</i>   |   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |
| <b>26. SPECIFIC CONDITIONS ON CONSENTING TO THE MOVEMENT</b>   |   |   |                            |        |        |              |                 |       |            |       |       |                    |       |  |                       |  |                       |  |        |  |                   |  |  |          |          |      |           |      |                     |          |                    |          |   |          |  |          |           |          |                   |          |                   |          |                       |      |            |       |  |       |                            |       |          |       |   |